



Improving affiliation data in ORCID for enhanced research visibility and integrity

euroCRIS Strategic Membership Meeting 2024, 28th November 2024



Paloma Marín-Arraiza, PhD, Associate Director of Engagement; p.arraiza@orcid.org; [@pmarrai.bsky.social](https://pmarrai.bsky.social); <https://orcid.org/0000-0001-7460-7794>



**Affiliations and professional activities in
ORCID records**

Adding affiliation data to ORCID records

Criteria for certified CRIS/RIM/eRA

Working group with euroCRIS

Affiliations and professional activities on ORCID records

There are different types of Affiliations and Professional Activities at ORCID

Affiliations

- **Employment:** formal employment relationship with an organization, e.g. staff, intern, researcher, contractor. Employment can be paid or unpaid.
- **Education:** Participation in an academic higher education program to receive an undergraduate, graduate, or other degree, may be in progress or unfinished.
- **Qualification:** Participation in a professional or vocational accreditation, certification, or training program, may be in progress or unfinished.

Professional Activities

- **Invited position:** An invited non-employment affiliation, e.g. honorary fellow, guest researcher, emeritus professor.
- **Membership:** Membership in a society or association, not including honorary memberships and fellowships.
- **Service:** A significant donation of time, money, or other resource, e.g. volunteer society officer, elected board position, extension work.
- **Distinction:** An honorary or other award, distinction, or prize in recognition of your achievements, e.g. trophy, medal, honorary degree.

We understand sometimes it isn't clear what information should be added and how

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Admin Guide to Affiliations and Professional activities

What is an affiliation?

An affiliation is a relationship between a person and an organization, linking the two. Record owners can add affiliations manually using our user interface and ORCID members can add affiliations to ORCID records using the ORCID API or affiliation manager.

Each affiliation item describes a single and complete affiliation. An ORCID record may have multiple overlapping affiliations, and the metadata is included in our user interfaces and API responses. Membership, Service, Invited positions and Distinctions are grouped together under the 'Professional activities' section within the user interface

New to the ORCID Record Interface—Professional Activities

JULY 26, 2023 BY [TOM DEMERANVILLE](#), [PAULA DEMAİN](#), [DAN DINEEN](#)

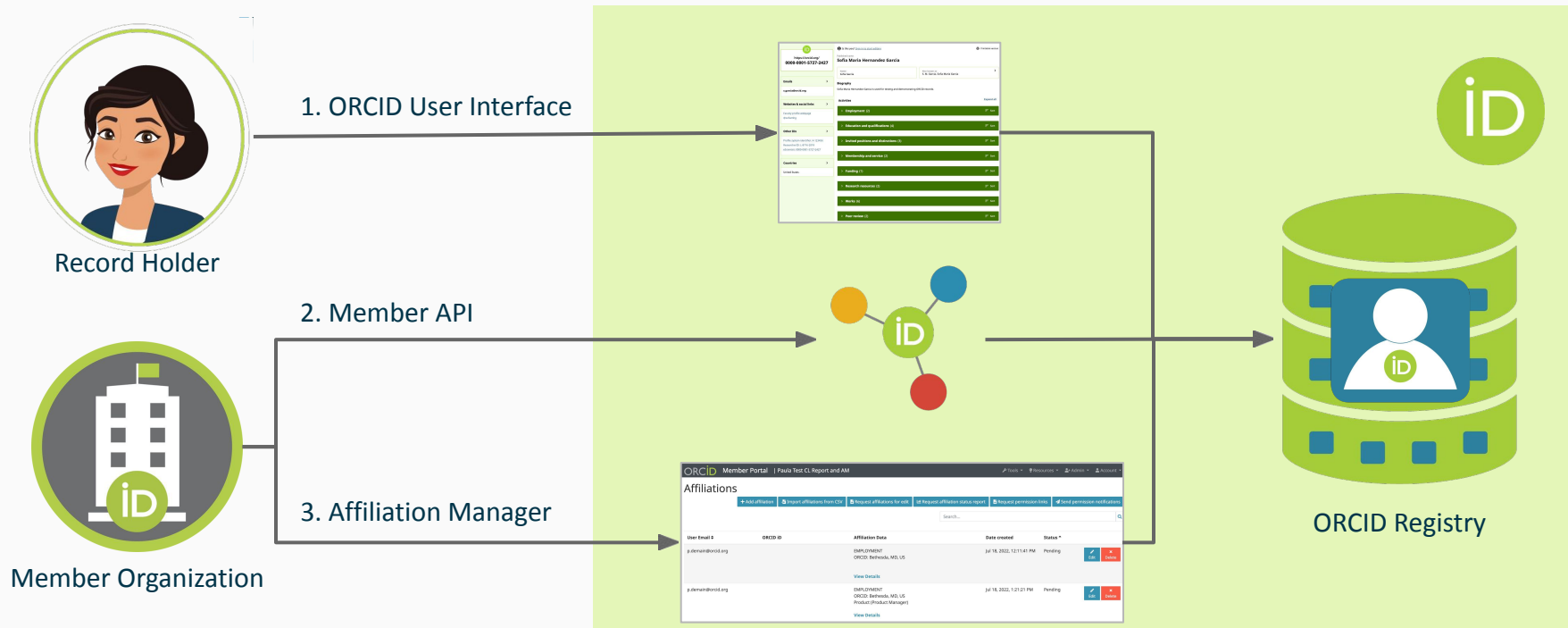
Enabling transparent and trustworthy connections between researchers and their contributions and affiliations is at the center of ORCID's [mission](#). These connections allow researchers to not only be recognized for the many different types of valuable contributions they make to the scholarly record, it also creates transparency around which institutions are funding the work and employing the researchers.

Listening to our community to improve ORCID records

ORCID's efforts to expand and refine the way we describe these types of connections began in 2017 when, after consultation with our community, we added [new affiliation types](#) that can be added to ORCID records in order to recognize researchers' professional activities. Now, six years later, following further feedback from our community and the great insights of our [Researcher Advisory Council \(ORAC\)](#), we have moved these professional activity affiliation types into a new section within the ORCID record called **Professional activities**. These activities are **Membership, Service, Invited Positions, and Distinctions**.

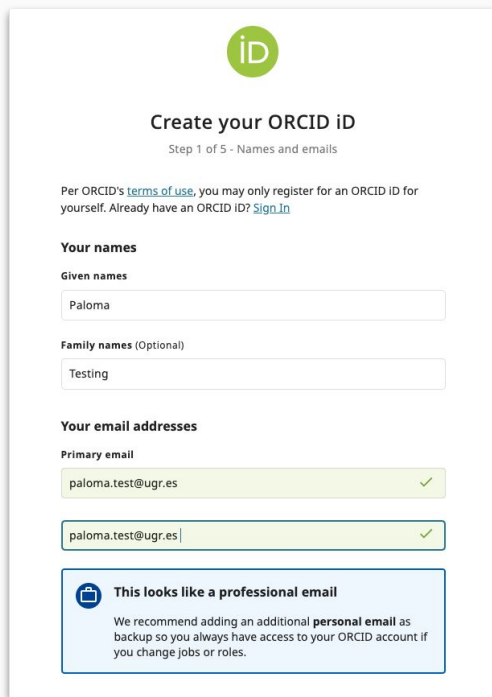
Adding affiliation and professional activity data to ORCID records

There are three ways for affiliation and professional activity data to get into ORCID



For those curious about the Affiliation Manager
<https://info.orcid.org/event/im-a-member-now-what-affiliation-manager-2/>

Researchers are now prompted with an option to add an affiliation upon registration (based on ROR data)



Create your ORCID iD
Step 1 of 5 - Names and emails

Per ORCID's [terms of use](#), you may only register for an ORCID ID for yourself. Already have an ORCID ID? [Sign in](#)

Your names

Given names

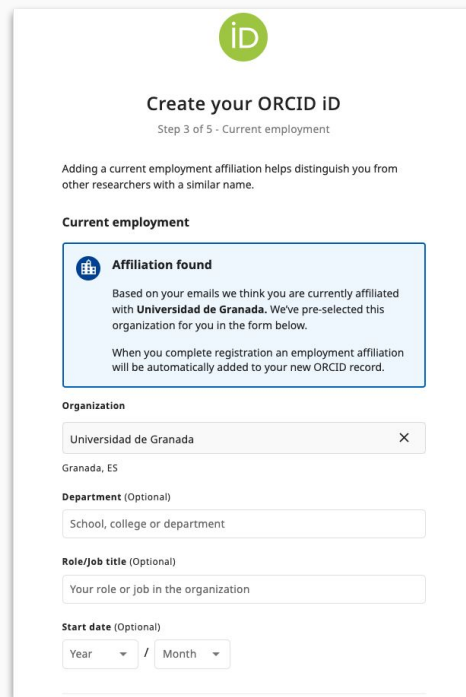
Family names (Optional)

Your email addresses

Primary email
 ✓

✓

 **This looks like a professional email**
We recommend adding an additional **personal email** as backup so you always have access to your ORCID account if you change jobs or roles.



Create your ORCID iD
Step 3 of 5 - Current employment

Adding a current employment affiliation helps distinguish you from other researchers with a similar name.

Current employment

 **Affiliation found**
Based on your emails we think you are currently affiliated with **Universidad de Granada**. We've pre-selected this organization for you in the form below.
When you complete registration an employment affiliation will be automatically added to your new ORCID record.

Organization
 X
Granada, ES

Department (Optional)

Role/Job title (Optional)

Start date (Optional)
Year / Month

Researchers are now prompted with an option to add an affiliation upon registration (based on ROR data)



Create your ORCID iD

Step 1 of 5 - Names and emails

Per ORCID's [terms of use](#), you may only register for an ORCID ID for yourself. Already have an ORCID ID? [Sign In](#)

Your names

Given names

Family names (Optional)

Your email addresses

Primary email

✓

✓

 **This looks like a personal email**
Add an additional **professional email** as backup so we can better recommend affiliations and other related data to you.



Create your ORCID iD

Step 3 of 5 - Current employment

Adding a current employment affiliation helps distinguish you from other researchers with a similar name.

Current employment

Organization

✕

São Paulo, BR

Department (Optional)

Role/job title (Optional)

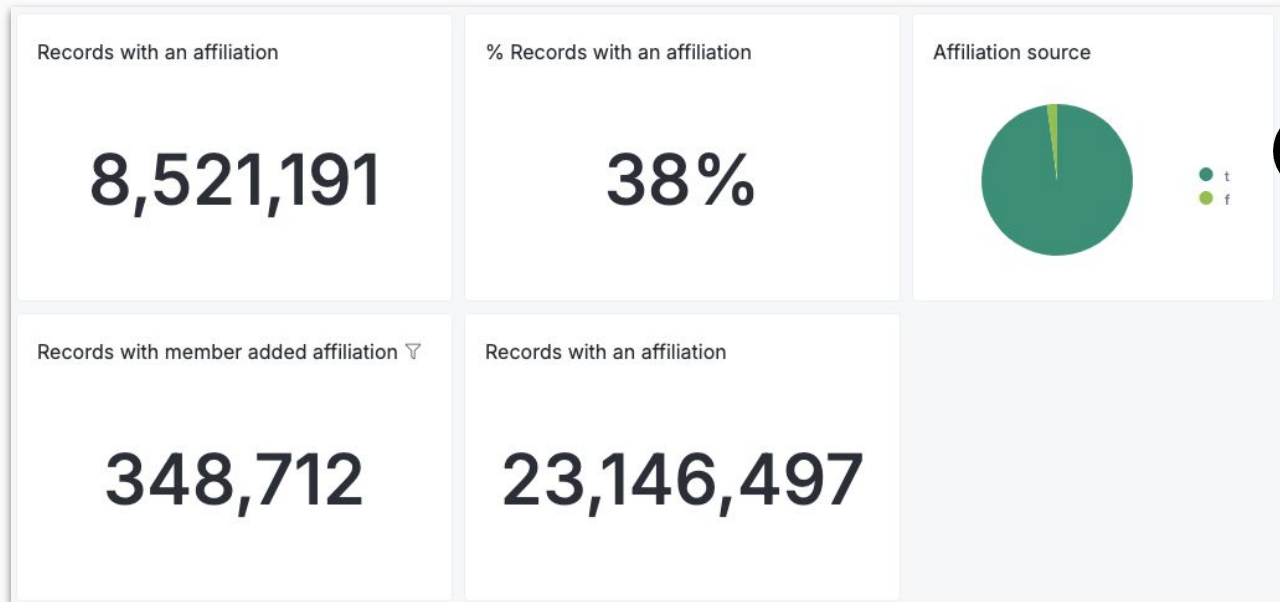
Start date (Optional)

2023 / 01

Next Step

[Skip this step without adding an affiliation](#)

This has gotten a positive impact in the % of records with affiliation data



97,82% – manually added
2,18% – validated (or added via the API)

Criteria for certified CRIS/RIM/eRA

We're enabling vendors to make it easier for members to expedite the contribution of trusted data

Specific best-practice ORCID certification criteria for 5 scholarly workflows:

1. Manuscript Submission Systems



2. Research Information Systems



Pure



Warsaw University of Technology
DEVELOPED AT WARSAW UNIVERSITY OF TECHNOLOGY

Esploro



3. Grant/Facility Application Management Systems



4. Repository Systems

5. Discovery Systems (New)

Benefits to organizations using a CSP

- Out-of-the-box, best-in-class ORCID-related functionality
- No need for custom technical development
- A more consistent user experience
- Training and support support from the vendor

Benefits for vendors offering CSPs

- Enhanced Promotion from ORCID:
 - Listing on our website
 - Recommendation to members
 - ORCID CSP Badge
 - Certification letter for proposals/tenders
- Enhanced Support from ORCID
- Advanced reporting



<https://info.orcid.org/vendors-and-service-providers/become-an-orcid-certified-service-provider/>



CRIS/RIM/eRA/ Criteria for Certification

Certified Research Information System Providers **MUST**:

- Collect authenticated researchers' ORCID iD and permissions
- Display iDs of researchers
- Use data from ORCID records to populate researcher profiles and discover new researcher activity
- Add employment/education information to researchers' ORCID records
- Add works to researchers' ORCID records (if applicable)
- Add funding awards to researchers' ORCID records (if applicable)
- Enable ORCID member organizations to use their own ORCID membership credentials when configuring the system
- Document how platform users can use the ORCID functionality offered in training and promotional materials

Including the option to add start and end dates

CRIS/RIM/eRA / Criteria for Certification

Certified Research Information System Providers **SHOULD**:

- Add a link to the researchers' profile in the Research Information System to their ORCID record

Certified Research Information System Providers **MAY**:

- Add an affiliation to visiting researchers' ORCID records
- Allow administrators to associate ORCID iDs with [authors/contributors](#)

Including the option to add start and end dates

Working group with euroCRIS

While some organizations have official affiliation guidelines...



Directive for naming TU Wien in publications

Note:

The only legally binding version of the directives and regulations of the Technische Universität Wien is the German version published in the University Gazette of TU Wien. The English version provided here is intended as a service (guide) for our international staff members and does not replace the German version:

Richtlinie zur Benennung der TU Wien in Publikationen
(online 09 July 2020)

Directive of the Rectorate, decision of 30 June 2020

Official statement in University Gazette no. 27/2020 of 09 July 2020 (ser. no. 271)

File ref.: 30002.04/011/2020 2



Richtlinie zur Benennung der Technischen Universität Braunschweig in Publikationen

Ziele und Zielgruppen

Die vorliegende Publikationsrichtlinie gilt verbindlich für alle Mitglieder und Angehörige der Technischen Universität Braunschweig. Sie dient der eindeutigen und einheitlichen Benennung der Universität in Publikationen. Veröffentlichungen sind ein Schlüsselindikator im Leistungsspektrum der Technischen Universität Braunschweig. Es liegt im Interesse der Autorinnen und Autoren und der Universität, dass

Estabelece padrão para afiliação institucional da Universidade Estadual Paulista "Júlio de Mesquita Filho" em todas as publicações científicas nacionais e estrangeiras.

O Reitor da Universidade Estadual Paulista "Júlio de Mesquita Filho - Unesp", com fundamento no inciso IX do art. 24 do Regimento Geral, tendo em vista o deliberado pela Câmara Central de Pesquisa em sessão de 18/10/2016 e considerando a necessidade de estabelecer uma forma padronizada para a afiliação institucional da Universidade Estadual Paulista "Júlio de Mesquita Filho" em publicações científicas nacionais e estrangeiras, baixa a seguinte Resolução:

Artigo 1º - Fica estabelecido padrão para afiliação institucional da Universidade Estadual Paulista "Júlio de Mesquita Filho" - Unesp em todas as publicações científicas nacionais e estrangeiras na seguinte conformidade:

I - em todas as publicações, nacionais e internacionais, a afiliação institucional deverá ser prioritariamente iniciada por:

Universidade Estadual Paulista (Unesp), Unidade (nome da faculdade ou instituto), Câmpus (cidade)

Guidelines for the Standardized Use of the Name Technische Universität Braunschweig in Publications

Objectives and Target Groups

These publication guidelines are obligatory for all members of Technische Universität Braunschweig. They ensure the unique and standardized use of the university's name in publications. Publications are a key indicator in the performance spectrum of Technische Universität Braunschweig. It is in the interest of the authors and the University itself that publications and citations are uniquely classified. This optimizes the researcher's personal performance record and enhances the University's reputation in institutional performance comparisons (rankings).

Use of the Guidelines

Publications within the terms of these guidelines are publications of research results including research transfer. In addition, research-related social media contributions and audiovisual media are included. Technische Universität Braunschweig has defined an obligatory, standardized affiliation designation for its identification as a research institution in publications as well as for congresses, lectures, presentations, research funding proposals, etc.



...many organizations (ORCID members and non-members) ask the best way to represent them in ORCID records



We hope to encourage more affiliation data added to ORCID records



The **problem**

Below 40% of active ORCID records contain affiliation data.

Information on best practices for adding affiliations is a recurring question among ORCID members who use the API to write affiliation data.

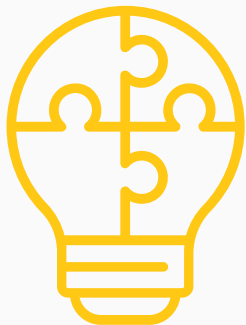


The **solution**

Provide guidelines or best practices for institutions for writing affiliation and professional activity data into ORCID

This **guidelines** should however be **community-driven**, so that community's needs are covered and this is why we formed the group :-)

We are currently a group of ~20 people willing to provide



- Guidelines/Recommendations covering how affiliations should be added to ORCID records.
- Enhancements on existing ORCID documentation to cover common FAQs
- Community-driven outreach resources

You are welcome to get involved in the group!



Reach out to me if you (or someone in your organization) would like to join the group



Thank you very much for your attention!

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